

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: **Busche**

§

Serial No.: **Not assigned**

§

Group Art Unit: **Not assigned**

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Filed: Herewith 4/19/2001

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Examiner: **Not assigned**

§

For: **Method and System for Sample  
Data Selection to Test and Train  
Predictive Algorithms of Customer  
Behavior**

§

Attorney Docket No.: **RSW920000182US1**

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.


Please charge any fees necessary for prosecution of the present application to IBM Corporation Deposit Account No. 09-0461. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to IBM Corporation Deposit Account No. 09-0461. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: April 19, 2001

  
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 09/18/01  
 04/19/01

<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>	ATTORNEY DOCKET NO. <b>RSW920000182US1</b>	SERIAL NO. <b>Not Assigned</b>
APPLICANT <b>Busche</b>		
FILING DATE		GROUP ART UNIT <b>Not Assigned</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/SUBCLASS	FILING DATE
	AA 5479573	Dec. 26, 1995	Keeler et al.	395/23	Jan. 25, 1993
	AB 5781893	Jul. 14, 1998	Felthauser et al.	705/210	Apr. 24, 1995
	AC 6026397	Feb. 15, 2000	Sheppard	707/5	May 22, 1996
	AD 5420789	May 30, 1995	Felthauser et al.	364/401	Apr. 5, 1993
	AE 6151601	Nov. 21, 2000	Papierniak et al.	707/10	Nov. 12, 1997

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO

**OTHER PRIOR ART (including author, title, date, pertinent page, etc.)**

AF	<a href="http://www.megaputer.com/html/polyanalyst4.0.html">http://www.megaputer.com/html/polyanalyst4.0.html</a> ; <i>PolyAnalyst 4</i> , 11/28/00, pp. 1-2.
AG	<a href="http://www.megaputer.com/html/mb.html">http://www.megaputer.com/html/mb.html</a> ; <i>Memory Based Reasoning Engine</i> , 11/28/00, pp. 1-2.
AH	<a href="http://www.megaputer.com/html/fd.html">http://www.megaputer.com/html/fd.html</a> ; <i>Find Dependencies Engine</i> , 11/28/00, pp. 1-2.
AJ	<a href="http://www.megaputer.com/html/fc.html">http://www.megaputer.com/html/fc.html</a> ; <i>Cluster Engine</i> , 11/28/00, pp. 1-2.
AJ	<a href="http://www.megaputer.com/html/pn.html">http://www.megaputer.com/html/pn.html</a> ; <i>PolyNet Predictor Engine</i> , 11/28/00, pp. 1-3.

DATE CONSIDERED	EXAMINER
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	